

04-18-01

Express Mail No. **EK381339934US**

Docket No. 23541-7001

JC978 U.S. PTO
09/836452
04/16/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Karl Reimer

Serial No.:

Filing Date:

Title: **Apparatus and Method for Continuous Surface Modification of Substrates**

UTILITY PATENT APPLICATION & FEE TRANSMITTAL

(for nonprovisional applications under 37 CFR § 1.53(b))

Assistant Commissioner for Patents

BOX PATENT APPLICATION

Washington, D.C. 20231

Sir:

Transmitted herewith for filing in connection with the above-identified patent application are the following:

I. Elements of the Application

- ☐ Application
- [0] page(s) of Cover Sheet
- [29] page(s) of Specification
- [14] page(s) of Claims (120 claims)
- [1] page(s) of Abstract
- [5] sheets of Drawings [] Formal [X] Informal
- ☐ Declaration and Power of Attorney [] page(s)
- [] Unexecuted [] Executed [] Copy from prior application (37CFR § 1.63(d))
- ☐ Deletion of Inventors: [] page(s) of signed statement deleting inventor(s) (37CFR § 1.63(d) & 1.33(b))
- ☐ Request and Certification Under 35U.S.C. 122(b)(2)(B)(i)
- ☐ Nucleotide and/or Amino Acid Sequence Submission:
- [] page(s) of Sequence Listing (paper copy)
- [] disk(s) containing Sequence Listing (computer readable copy)
- [] page(s) of Statement Under 37 CFR 1.821(f)
- ☐ Microfiche Computer Program Appendix _____

II. Claim for U.S. Priority

- ☐ This application is a ☐ Continuation ☐ Divisional ☐ Continuation-in-Part of Prior Application No. _____ filed _____. (35 USC §120)
- ☒ This application claims the benefit under 35 U.S.C. §119(e) of United States Application Serial No. 60/197,836 filed April 14, 2000. (Provisional Application)
- ☒ The entire disclosure of the prior application referenced above, is considered as being part of the application filed herewith and is herein incorporated by reference in its entirety.

III. Claim for Foreign Priority

- ☐ This application claims the benefit under 35 USC §119 of Prior Application No. _____ filed _____.
- ☐ Certified Copy of Priority Document [] page(s)
- ☐ English Translation of Priority Document [] page(s)

IV. Accompanying Documents

- ☐ Assignment Papers [] page(s) of Recordation Cover Sheet [] page(s) of Assignment
- ☒ Applicant(s) claims Small Entity Under 37 CFR § 1.27 [] Verified Small Entity Statement was previously filed in Prior Application No. _____ on _____, _____.
- ☐ Information Disclosure Statement [] page(s) of PTO-1449 [] copies of IDS References
- ☐ A Preliminary Amendment [] page(s)
- ☐ A copy of a Petition for Extension of Time (_ mos.) filed simultaneously in Prior Application No. _____
- ☐ A copy of a Submission of Processing & Retention Fee (37 CFR § 1.78(a)(1) which is being filed simultaneously in Prior Application No. _____
- ☐ Other _____ (specify)
- ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)

V. Fee Calculation

<input type="checkbox"/> The following fees are submitted:					CALCULATIONS
			OTHER THAN SMALL ENTITY	SMALL ENTITY	\$
BASIC NATIONAL FILING FEE			\$ 710.00	\$ 355.00	\$355.00
EXTRA CLAIMS FEE					
CLAIMS	# FILED	# EXTRA	RATE	RATE	
Total Claims	120 - 20 =	100	× \$18.00	× \$ 9.00	\$900.00
Independent claims	6 - 3 =	3	× \$80.00	× \$40.00	\$120.00
MULTIPLE DEPENDENT CLAIM(S)					
<input type="checkbox"/> Yes <input type="checkbox"/> No			\$270.00	\$135.00	\$
Fee for recordation of the enclosed assignment (37 CFR 1.21(h), 3.28, 3.31).					
_____ (Numbers of Applications) × \$40.00					\$
OTHER FEES _____ (specify)					\$
OTHER FEES _____ (specify)					\$
TOTAL FEES =					\$1,375.00

- a. ☐ A check in the amount of \$ _____ to cover the above fees is enclosed.
- b. ☐ Please charge Deposit Account No.: _____, Docket No. _____, in the amount of \$ _____ to cover the above fees. *A duplicate copy of this sheet is enclosed.*
- c. ☐ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. _____, Docket No. _____.
A duplicate copy of this sheet is enclosed

SEND ALL CORRESPONDENCE TO:

Rajiv Yadav
 McCutchen, Doyle, Brown & Enersen, LLP
 Three Embarcadero Center, 28th Floor
 San Francisco, CA 94111
 Telephone: (415) 393-2000
 Facsimile: (415) 393-2286

SIGNATURE

DATE

Rajiv Yadav
 NAME

43,999
 REGISTRATION NUMBER